

## Quiz: Securities Law

October 1, 2009

On Sept. 28, 2009, securities regulatory authorities across Canada welcomed the new National Instrument 31-103 Registration Requirements and Exemptions. The new registration rules brought a number of major changes to the securities industry in Canada, one of the most significant of which was the introduction of the new exempt market dealer registration category.

WeirFoulds

www.weirfoulds.com

## **Toronto Office**

4100 – 66 Wellington Street West PO Box 35, TD Bank Tower Toronto, ON M5K 1B7

Tel: 416.365.1110 Fax: 416.365.1876

## Oakville Office

1320 Cornwall Rd., Suite 201 Oakville, ON L6J 7W5

Tel: 416.365.1110 Fax: 905.829.2035

© 2025 WeirFoulds LLP